



贏證券有限公司
Win Securities Limited

中國內地投資者聲明
Declaration by Chinese Mainland Investor

Date / 日期：

To / 致：贏證券有限公司

Subject / 主題：Written Declaration for Investment Account Opening / 投資帳戶開戶書面聲明

I, _____ (Full Name as per Passport/ID / 護照/身份證上的全名), Holder of Passport / ID No.: _____ (護照/身份證號碼), Nationality: _____ (國籍), Address: _____ (地址),

hereby solemnly declare and confirm the following: 本人在此鄭重聲明並確認以下事項：

1. Lawful Sources of Funds 資金的合法來源

I confirm that all funds which support the investment activities and related settlements are from lawful sources **outside of the Chinese Mainland**. 本人確認，所有用於支持投資活動及相關結算的資金均來自中國大陸以外的合法來源。

2. No Previous Account Closure / Suspension 無先前帳戶被關閉或暫停

I confirm that I do not have any account that was previously closed or suspended by any Licensed Corporation (LC) or bank due to the use of questionable or forged documents. 本人確認，本人沒有任何帳戶曾因使用可疑或偽造文件而被任何持牌法團（LC）或銀行關閉或暫停。

3. Notification of Changes 資料變更通知

I undertake that I will notify Win Securities Limited in writing **within 7 business days** in the event of any changes to the information provided in this written declaration. 本人承諾，若本聲明所提供的任何資料有任何變更，本人將於 7 個營業日內以書面通知贏證券有限公司。

4. Consent to Disclosure 同意披露資料

I confirm that I understand and agree that, upon requests from law enforcement agencies or regulatory authorities, [company name] may disclose my personal and other relevant information. 本人確認本人理解並同意，當執法機構或監管當局提出要求時，[公司名稱]可披露本人的個人及其他相關資料。

I acknowledge that this declaration forms part of my account opening documentation with [company name]. I understand that any false or misleading statement in this declaration may result in the closure of my investment account and potential regulatory or legal consequences.

本人確認本聲明構成本人與[公司名稱]開戶文件的一部分。本人理解，若本聲明中有任何虛假或誤導性陳述，可能導致本人的投資帳戶被關閉，並可能面臨監管或法律後果。

I declare that the above statements are true and accurate to the best of my knowledge and belief.

本人聲明以上陳述就本人所知所信均為真實及準確。

Signature / 簽名： _____

Full Name / 全名： _____

Date / 日期： _____